

MAR 15 2006

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete If Known

Application Number	10/538,207
Filing Date	June 9, 2005
First Named Inventor	Declan P. Kelly
Art Unit	2601 2617
Examiner Name	My Nguyen
Attorney Docket Number	NL 021342

Sheet	1	of	1
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² Number ³ Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
MN	B	EP 1107254 A	06/13/2001	PIONEER CORP	
↓	C	WO 02089111 A	11/07/2002	Kenwood Corp	
	D	EP 1 381026 A	01/14/2004		

Examiner Signature	/My Nguyen/ (12/09/2006)	Date Considered	12/09/2006
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * Applicant's unique citation designation number (optional). * See King's Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. * Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Sheet 1

of 2

Application Number

10/538207

Filing Date

CONCURRENTLY

First Named Inventor

DECLAN P. KELLY

Art Unit

2617

Examiner Name

My Nguyen

Attorney Docket Number

NL021342

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MN		US- 6,295,555	09-25-2001	GOLDMAN	
		US- 2001/0023403 A1	09-20-2001	MARTIN	
		US- 6,243,328	06-05-2001	FENNER	
		US- 2001/0053686	12-20-2001	HOVESTADT	
		US- 2002/048224 A1	04-23-2002	DYGERT	
		US- 2001/052028 A1	12-13-2001	GREENBERG	
MN		US- 6,470,138	10-22-02	PARK SUNG-WAN	
		US-			
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MN		WO 00/062564	10-19-2000	BLOW		
		EP 0443933 A1	08-28-1991	DESMEDT		
		EP 1107254 A	06-13-2001	KANEKO		
		WO 02/089111 A1	11-07-2002	OSHIYAMA		
		DE 10016846 A1	10-10-2000	CRULL		
		DE 10006720 A	02-15-2001	KRIETE		

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Application Number	10753
Filing Date	CONCURRENTLY
First Named Inventor	DECLAN P. KELLY
Art Unit	2617
Examiner Name	My Nguyen
Attorney Docket Number	NL021342

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Examiner Signature	/My Nguyen/ (12/09/2006)
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Date Considered	12/09/2006
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		Filing Date	CONCURRENTLY
		First Named Inventor	DECALN P. KELLY
		Art Unit	2617
		Examiner Name	My Nguyen
Sheet 1 of 1	Attorney Docket Number	NL021342	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MN		Royal Philips Electronics press information entitled, "Philips Develops Technology for Small Form Factor Optical Storage", June 18, 2002.	
MN		Philips Research document entitled, "Video Clip on Small Form Factor Optical Storage".	

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